



भारतीय प्रतिभूति  
और विनियम बोर्ड  
Securities and Exchange  
Board of India

INVESTMENT MANAGEMENT DEPARTMENT  
DIVISION OF FUNDS-6  
E-mail: [hemants@sebi.gov.in](mailto:hemants@sebi.gov.in)

IMD/DF-6/OW/HKS/16378/2022  
April 13, 2022

**ParadigmARQ Alternate Investment Fund**  
216, Trade Centre, Opp. MTNL Building,  
Bandra Kurla Complex, Bandra East,  
Mumbai - 400051.

Dear Sir,

**Sub: Application for registration of 'ParadigmARQ Alternate Investment Fund' as an  
Alternative Investment Fund**

1. Please refer to your application for grant of certificate of registration as a **Category III Alternative Investment Fund** and subsequent information/documents submitted by you.
2. We acknowledge the receipt of your fees for registration as Alternative Investment Fund and we are pleased to grant the certificate of registration in terms of Regulation 6 of SEBI (Alternative Investment Funds) Regulations, 2012, ("The Regulations") to carry out the activities as an Alternative Investment Fund.
3. The registration number for '**ParadigmARQ Alternate Investment Fund**' is **IN/AIF3/22-23/1049**. In respect of all future correspondence with SEBI, Government and other authorities, you should quote this registration number.
4. The registration is granted on the basis of information available with SEBI at this point of time and does not preclude SEBI from cancelling the registration later if anything adverse is found against you or if you are convicted.
5. The registration has been granted subject to the following conditions, inter alia, as specified in Regulation 7 of the above Regulations:
  - a. the Alternative Investment Fund shall abide by the provisions of the Act and these regulations;
  - b. the Alternative Investment Fund shall not carry on any other activity other than permitted activities;
  - c. The Alternative Investment Fund shall forthwith inform the Board in writing, if any information or particulars previously submitted to the Board are found to be false or misleading in any material particular or if there is any material change in the information already submitted.

Page 1 of 2

सेबी भवन, प्लॉट सं. सी 4-ए, "जी" ब्लॉक, बान्द्रा-कुर्ला कॉम्प्लेक्स, बान्द्रा (पूर्व), मुंबई - 400 051.  
दूरभाष : 2644 9950 / 4045 9950 (आई.वी.आर.एस.), 2644 9000 / 4045 9000 फैक्स : 2644 9019 से 2644 9022 वेब : [www.sebi.gov.in](http://www.sebi.gov.in)

SEBI Bhavan, Plot No. C4-A, "G" Block, Bandra-Kurla Complex, Bandra (E), Mumbai - 400 051.  
Tel.: 2644 9950 / 4045 9950 (IVRS). 2644 9000 / 4045 9000 Fax : 2644 9019 to 2644 9022 Web : [www.sebi.gov.in](http://www.sebi.gov.in)



अनुवर्ती :  
Continuation :

भारतीय प्रतिभूति  
और विनिमय बोर्ड  
**Securities and Exchange  
Board of India**

6. In accordance with Regulation 7 (2), an Alternative Investment Fund which has been granted registration under a particular category cannot change its category subsequent to registration, except with the approval of the Board.
7. **The Private Placement Memorandum (PPM) of the Scheme "ParadigmARQ Multi Asset Fund" of the "ParadigmARQ Alternate Investment Fund" is being separately examined and a letter for taking the same on record shall be sent separately.**
8. It may please be noted that any material change in the information on the basis of which registration is granted must be communicated to SEBI immediately.
9. Your attention is particularly invited to Chapter III of the AIF Regulations for Investment conditions and restrictions which shall apply to all investments made by you and funds raised by you.
10. You shall keep and maintain the books of accounts, records and such other documents as may be required by the Board under the Regulations from time to time.
11. You shall ensure compliance with the Guidelines / Directives / Instructions/ Circulars etc. as may be issued from time to time by SEBI or the Government of India relating to the activities carried on by you.
12. You shall not make use of your status as SEBI registered Alternative Investment fund in furtherance of unrelated activities or any other purpose which is not permitted in the Regulations.
13. Your action shall be governed by SEBI Act and SEBI (Alternative Investment Funds) Regulations, 2012 in respect of the activities carried on by you as an Alternative Investment Fund.
14. All Guidelines / Circulars (including those issued to alternative investment funds) by SEBI till date are available on SEBI Website : [www.sebi.gov.in](http://www.sebi.gov.in)
15. The certificate of registration is enclosed herewith. You are advised to address all your future correspondence at the following address: Investment Management Department, DOF – 9, SEBI Bhavan, Plot No: C4-'A', "G" Block, Bandra Kurla Complex, Bandra (East), Mumbai – 400 051.
16. Please return a copy of this letter duly signed by the authorized official in token of having received the certificate of registration.

Yours faithfully,

**Hemant Kumar Saini**

Assistant General Manager

Encl: Certificate of Registration

प्ररूप ख  
FORM-B

भारतीय प्रतिभूति और विनियम बोर्ड  
**SECURITIES AND EXCHANGE BOARD OF INDIA**

{आनुकल्पिक विनिधान (निवेश) निधियाँ} विनियम, 2012  
(ALTERNATIVE INVESTMENT FUNDS) REGULATIONS, 2012

0001369

(विनियम 6)  
(Regulation 6)

आनुकल्पिक विनिधान (निवेश) निधि के रूप में रजिस्ट्रीकरण का प्रमाणपत्र  
**CERTIFICATE OF REGISTRATION AS ALTERNATIVE INVESTMENT FUND**

- I) बोर्ड, भारतीय प्रतिभूति और विनियम बोर्ड अधिनियम, 1992 (1992 का 15) के अधीन बनाये गये विनियमों के साथ पठित उस अधिनियम की धारा 12 की उप-धारा (1) द्वारा का प्रदत्त शक्तियों का प्रयोग करते हुए,
- D) In exercise of the powers conferred by sub section (1) of Section 12 of the Securities and Exchange Board of India Act, 1992 (15 of 1992) read with the regulations made thereunder, the Board hereby grants a certificate of registration to

**PARADIGMARQ ALTERNATE INVESTMENT FUND**  
216, Trade Centre, Opp. MTNL Building,  
Bandra Kurla Complex, Bandra East,  
Mumbai – 400051.

को अधिनियम में और उसके अधीन बनाये गये विनियमों में विनिर्दिष्ट शर्तों के अध्यधीन, आनुकल्पिक विनिधान (निवेश) निधि के रूप में प्रमाणपत्र एतद्वारा प्रदान करता है।

as an **Alternative Investment Fund**, subject to the conditions specified in the Act and in the regulations made thereunder.

- I) आनुकल्पिक विनिधान (निवेश) निधि का प्रवर्ग होगा  
II) The Category of the Alternative Investment Fund shall be

**CATEGORY III**

- III) आनुकल्पिक विनिधान (निवेश) निधि की रजिस्ट्रीकरण संख्या आईएन/एआईएफ/प्रवर्ग है  
III) The Registration Number of the Alternative Investment Fund is

**IN/AIF3/22-23/1049**



For and on behalf of  
**Securities and Exchange Board of India**

**Sanjay Singh Bhati**

प्रधिकृत हस्ताक्षरकर्ता Authorised Signatory

स्थान Place : **Mumbai**

तारीख Date : **April 13, 2022**